

Practitioner's Docket No. D-1067 R3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: Robert D. Symonds, et al.

for **FINANCIAL TRANSACTION PROCESSING SYSTEM AND METHOD**, the specification of which is being transmitted herewith.

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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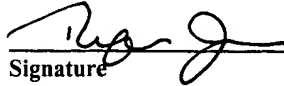
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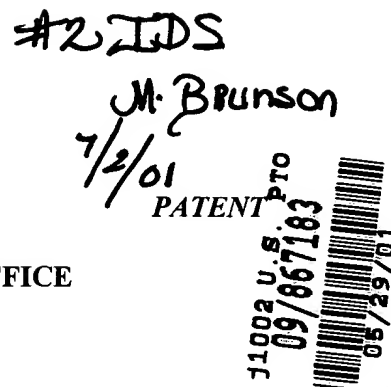
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1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
2. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 2. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN.: 09/483,104 filed on January 14, 2000.

The following references were submitted to, and/or cited by, the Office in the prior application and, therefore, are not required to be provided in this application:

U.S. Patent Documents

4,077,011	Mathis	02/78
4,161,781	Hildebrandt, et al.	07/79
4,654,654	Butler, et al.	03/87
4,694,397	Grant, et al.	09/87
4,727,243	Savar	02/88
4,855,905	Estrada, et al.	08/89
5,119,465	Jack, et al.	06/92
5,239,662	Danielson, et al.	08/93
5,258,604	Behrens, et al.	11/93
5,339,421	Housel III	08/94
5,384,766	Yamato, et al.	01/95
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5,694,580	Narita, et al.	12/97
5,706,434	Kremen, et al.	01/98
5,715,397	Ogawa, et al.	02/98
5,826,071	Holzmann	10/98

Foreign Patent Documents

JP	63-95564	04/98	Terai, et al.
JP	61-131942	06/86	Nakayama, et al.
JP	59-105739	06/84	Ito

Section 6. Copies of Listed Information Items Accompanying This Statement

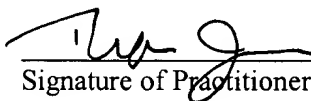
Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

Exception(s) to above:

Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is the practitioner who signs below on the basis of the information supplied by the inventor(s) in the practitioner's file.



Signature of Practitioner

Reg. No.: 31,029

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